U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

441700

APPLICANT

D. Utterberg

FILING DATE

7/3/03

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
meh.	AA	Re. 35,841	7/7/98	Frank et al.		1	· · · · · · · · · · · · · · · · · · ·
	AB	3,977,403	8/76	Patel			
	AC	3,994,293	11/76	Ferro			
	AD	4,000,739	1/77	Stevens			•
	AE	4,076,285	2/78	Martinez			
	AF	4,105,187	8/78	Huber			
	AG	4,128,098	12/78	Bloom et al.			
	АН	4,143,853	3/13/79	Abramson			
	Al	4,197,848	4/15/80	Garrett et al.			·····
	AJ	4,201,208	5/80	Cambio, Jr.			τ
	AK	4,326,569	4/82	Vaillancourt		1	٠

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CI	LASS	SUBCLASS	TRANSI	LATION
•				,		٠,		YES	NO
mah	AM	Canadian 1,248,832	1/89	Baxter Travenol Labs., Inc. USA	V	—		 "	
	AN	WO 91/05581	5/91	Baxter International, Inc.	Λ	<u> </u>			
	AO	WO 02/04065 A2	1/02	ICU Medical, Inc.		abla			
	AP	WO 02/34326	5/02	NYPRO, Inc.	T	au	1		
	AQ	DE 3303718 C1	10/84	Herlitze	Γ,	\mathcal{A}			

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

4	mai	h.	AR	Publication from ERCI: "Sharps Safety and Needle Stick Prevension"; pp. 95-108 (2001)
			AS	Photograph of JMS Connector with Planecta - Japanese Catalog Page
			AT	Drawing Labeled Fresenius Connector

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your next communication to applicant.

Transon.

9/27/04

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

441700

APPLICANT

D. Utterberg

FILING DATE

7/3/03

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE ' IF APPROPRIATE
	·				1	Ι	AT NOT NIATE
nul.	AA	4,387,879	6/14/93	Tauschinski		[
	AB	4,512,766	4/85	Vaillancourt			
	AC	4,649,904	3/87	Krauter et al.			
	AD	4,655,752	4/87	Honkanen et al.			
	AE	4,673,400	6/87	Martin			
	AF	4,752,287	6/88	Kurtz et al.			
	AG	4,752,292	6/88	Lopez et al.			
•	АН	4,758,225	6/88	Cox et al.			
	Al	4,765,588	8/88	Atkinson			
	AJ	4,798,594	1/89	Hillstead			
1	AK	4,809,679	3/89	Shimonaka et al.		,	÷.

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSL	.ATION
						YES	NO
AM							
AN							
AO							
AP							
AQ							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

·	AR	
	AS	
	AT	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your next communication to applicant.

manon. 9/27/04

FORM PTO-14		JAN 3 0 2004 S	U.S. DEPARTMENT OF PATENT AND TRAD	EMARK OFFICE	441700 APPLICANT D. Utterberg				. NO.	2	· .
				·	FILING DATE		GR	OUP	•		
					7/3/03						
			U. S. PATE	NT DOCU	IMENTS						:
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	C	LASS	SUE	BCLASS	FILING IF	
rich.	AA	4,842,591	6/89		Luther			1	-		
	AB	4,874,377	. 10/89	l	Newgard et al.						
-	AC	4,950,260	8/90		Bonaldo						
	AD	4,954,149	9/90		Fullemann						
	AE	5,006,114	4/91		Rogers et al.						
	AF	5,041,097	8/91		Johnson						
	AG	5,060,812	10/91		Ogle, II						•
	АН	5,061,253	10/91		Yoshida						
	Al	5,064,416	11/91	7	Newgard et al.						
	AJ	5,100,394	3/92		Dudar et al.						•
	AK	5,108,380	4/92		Herlitze et al.						
		ş	FOREIGN PA	TENT DO	CUMENTS				1		
,		DOCUMENT NUMBER	DATE		NAME	Ct	ASS	SUE	CLASS	TRANSL.	NO
	AM										
-	AN						·				
	AO										
	AP								i	•	
	AQ										
		OTHER REFERENCES	(INCLUDING A	UTHOR, TIT	ILE, DATE, PERTINENT	PAGE	s, E	TC.)		
•	AR_										
	AS										
	AT										
		reference considered, whether or n copy of this form with your next corr			MPEP 609; Draw line throu	gh citatio	n if no	ot in	conform	nance and	J not

CH1 10634575.1

Markton

FORM PT0-1449 LIST OF REFERENCES CITED BY APPLICANT

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO.

SERIAL NO.

441700

10/613,922

APPLICANT

D. Utterberg

FILING DATE

GROUP

7/3/03

U.S. PATENT DOCUMENTS

'EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF
		5,135,489			ĸ	\ t	APPROPRIATE
meh.	AA		8/92	Jepson et al.			
	AB	5,203,775	4/93	Frank et al.			
	AC	5,211,634	5/93	Vaillancourt			
	AD	5,215,538	6/93	Larkin			
	AE	5,242,393	9/93	Brimhall et al.			
	AF	5,242,432	9/93	DoFrank			
,	AG	5,251,873	10/93	Atkinson et al.			
	AH	5,279,571	1/94	Larkin			
	Al	5,295,658	3/94	Atkinson et al.			
	AJ	5,360,413	11/94	Leason et al.			
$-\sqrt{}$	AK	5,409,125	4/95	Kimber et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSL	ATION
						YEŞ	NO
AM							
AN							
AO							
AP							
AQ	,						-

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AR	
	AS	
	AT	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your next communication to applicant.

FORM PT0-1449 LIST OF REFERENCES CITED BY APPLICANT

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. SERIAL NO. 10/613,922 441700

APPLICANT

D. Utterberg

FILING DATE

GROUP

7/3/03

U. S. PATENT DOCUMENTS

*EXAMINI INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
nel	A. AA	5,417,673	5/95	Gordon			
1000	AB	5,441,487	8/95	Vedder			
	AC	5,456,675	10/95	Wolbring et al.			
	AD	5,470,319	11/95	Mayer			
	AE	5,533,708	7/96	Atkinson et al.			
	AF	5,540,661	7/96	Tomisaka et al.			
	AG	5,549,651	8/96	Lynn			
	AH	5,620,434	4/97	Brony et al.			•
. 2	Al	5,674,206	10/97	Alton et al.			
-	AJ	5,685,866	11/97	Lopez			
	AK	5,702,019	12/97	Grimard			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANS	LATION
						YES	NO
AM							
AN							
 AO							
AP							
AQ							_

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

+	AR_	
	AS	
	AT	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your next communication to applicant.

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

441700

APPLICANT

D. Utterberg

FILING DATE

7/3/03

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
mah.	AA	5,957,898	9/99	Jepson et al.		1	
	AB	6,261,282 B1	7/01	Jepson et al.			
	AC	6,344,033 B1	2/02	Jepson et al.			
	AD	4,981,460	1/91	Whitehouse et al.	1		
	AE						
	AF						<u> </u>
	AG						
	AH						
÷	Al						
	AJ			v			
	AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANS	LATION
						YES	NO
AM							
AN							
AO							
AP							
AQ							

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

AR	
AS	
AT	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your next communication to applicant.

minkton

9/27/04

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE o persons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/613,922 Filing Date July 3, 2003 First Named Inventor David S. Utterberg Art Unit **Examiner Name**

(Use as many sheets as necessary) Sheet 1

Substitute for form 1449/PTO

25633-441700 Attorney Docket Number

Ü. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# Mrown)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
nikl		^{US-} 6,245,048	6/12/2001	Fangrow, Jr. et al.		
		US-				
		US-				
		US-				
		US-				
		US-				
		U\$-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US·				
		US-				
		US-				

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Į.,				
		Country Code ³ "Number ⁴ "Kind Code ³ (if known)	MM-DD-YYYY		Or Relevant Figures Appear					
						_				
						r				
-										

Examiner Signature Mundown .	Date Considered	9/27/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation into in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.